



GAU2166

S&amp;H Form: PTO/SB/17 (10/00)

**REPLY/AMENDMENT  
FEE TRANSMITTAL**

Attorney Docket No.	1497.1002
Application Number	09/672,838
Filing Date	September 29, 2000
First Named Inventor	William Randolph ABERNETHY
Group Art Unit	2166
Examiner Name	Not Yet Assigned

AMOUNT ENCLOSED \$ 67.00

**RECEIVED****FEE CALCULATION** (fees effective 10/01/00)

APR 19 2001

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Technology Center 2100 Calculations
TOTAL CLAIMS	41 <sup>(1)</sup>	38 <sup>(2)</sup> =	3 <sup>(3)</sup>	X \$18.00 =	54.00
INDEPENDENT CLAIMS	9 <sup>(4)</sup>	8 <sup>(5)</sup> =	1 <sup>(6)</sup>	X \$80.00 =	80.00
Since an Official Action set an <u>original</u> due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)): (2 months (\$390)): (3 months (\$890)): (4 months (\$1,390)): (5 months (\$1,890)):					0.00
If Notice of Appeal is enclosed, add (\$310)					
If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110)					+
Total of above Calculations =					\$ 134.00
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)					- 67.00
<b>TOTAL FEES DUE =</b>					<b>\$ 67.00</b>

- (1) If entry (1) is less than entry (2), entry (3) is "0".  
(2) If entry (2) is less than 20, change entry (2) to "20".  
(4) If entry (4) is less than entry (5), entry (6) is "0".  
(5) If entry (5) is less than 3, change entry (5) to "3".

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.  
☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.

**AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	J. Randall Beckers	Reg. No.	30,358
Signature		Date	April 17, 2001



DOCKET NO. 1497.1002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

William Randolph ABERNETHY

Group Art Unit: 2166

Serial No: 09/672,838

Examiner: Not Yet Assigned

Filed: September 29, 2000

APR 19 2001

For: A BASKETS TRADING SYSTEM HAVING AN INTERFACE FOR USE Technology Center 2100  
SPECIFICATION OF GOODS TO BE TRADED AS A UNIT

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Before examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS:

Please **AMEND** the claims in accordance with the following:

(39) (NEW) A system, comprising:

a server coupled to a goods trading market and trading fungible goods via the market;

and

a user computer coupled to the server and allowing a user to specify a basket

comprising at least two fungible goods tradable via the market using an interface to initiate trading by the server, the interface showing a relationship between a master account and a sub-account relationship and showing sub-account contribution to the master account, the server allocating trades in the master account to the sub-account.

#3/a  
S. C. Allen  
5/30/01

RECEIVED

04/18/2001 H08D11  
01 FC:202  
02 FC:203  
40.00 BP  
27.00 BP

Similar to Q13  
a1